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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
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10/551,690

02/17/2006

Tero Soukka

TUR-172

8006

32954 7590 01/31/2011

JAMES C. LYDON  
100 DAINGERFIELD ROAD  
SUITE 100  
ALEXANDRIA, VA 22314

EXAMINER

YU, MELANIE J

ART UNIT

PAPER NUMBER

1641

MAIL DATE

DELIVERY MODE

01/31/2011

PAPER

**Please find below and/or attached an Office communication concerning this application or proceeding.**

The time period for reply, if any, is set in the attached communication.

<b>Office Action Summary</b>	<b>Application No.</b> 10/551,690	<b>Applicant(s)</b> SOUKKA ET AL.	
	<b>Examiner</b> MELANIE J. YU	<b>Art Unit</b> 1641	

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

### Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

### Status

- 1) ☒ Responsive to communication(s) filed on 07 September 2010.
- 2a) ☐ This action is **FINAL**.                      2b) ☒ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

### Disposition of Claims

- 4) ☒ Claim(s) 26-38 is/are pending in the application.
- 4a) Of the above claim(s) \_\_\_\_\_ is/are withdrawn from consideration.
- 5) ☐ Claim(s) \_\_\_\_\_ is/are allowed.
- 6) ☒ Claim(s) 26-38 is/are rejected.
- 7) ☐ Claim(s) \_\_\_\_\_ is/are objected to.
- 8) ☐ Claim(s) \_\_\_\_\_ are subject to restriction and/or election requirement.

### Application Papers

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☒ The drawing(s) filed on 30 September 2005 is/are: a) ☒ accepted or b) ☐ objected to by the Examiner.  
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).  
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

### Priority under 35 U.S.C. § 119

- 12) ☒ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☒ All    b) ☐ Some \*    c) ☐ None of:
1. ☒ Certified copies of the priority documents have been received.
2. ☐ Certified copies of the priority documents have been received in Application No. \_\_\_\_\_.
3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

\* See the attached detailed Office action for a list of the certified copies not received.

### Attachment(s)

- |   |   |
|---|---|
| 1) <input checked="" type="checkbox"/> Notice of References Cited (PTO-892)         | 4) <input type="checkbox"/> Interview Summary (PTO-413)           |
| 2) <input type="checkbox"/> Notice of Draftperson's Patent Drawing Review (PTO-948) | Paper No(s)/Mail Date. _____                                      |
| 3) <input type="checkbox"/> Information Disclosure Statement(s) (PTO/SB/08)         | 5) <input type="checkbox"/> Notice of Informal Patent Application |
| Paper No(s)/Mail Date _____   | 6) <input type="checkbox"/> Other: _____                          |

## **DETAILED ACTION**

### ***Continued Examination Under 37 CFR 1.114***

A request for continued examination under 37 CFR 1.114, including the fee set forth in 37 CFR 1.17(e), was filed in this application after final rejection. Since this application is eligible for continued examination under 37 CFR 1.114, and the fee set forth in 37 CFR 1.17(e) has been timely paid, the finality of the previous Office action has been withdrawn pursuant to 37 CFR 1.114. Applicant's submission filed on 7 September 2010 has been entered.

### ***Claim Rejections - 35 USC § 103***

The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

The factual inquiries set forth in *Graham v. John Deere Co.*, 383 U.S. 1, 148 USPQ 459 (1966), that are applied for establishing a background for determining obviousness under 35 U.S.C. 103(a) are summarized as follows:

1. Determining the scope and contents of the prior art.
  2. Ascertaining the differences between the prior art and the claims at issue.
  3. Resolving the level of ordinary skill in the pertinent art.
  4. Considering objective evidence present in the application indicating obviousness or nonobviousness.
1. Claim 26, 27, 29 and 36-38 are rejected under 35 U.S.C. 103(a) as being unpatentable over Kameda et al. (US 4,959,306) in view of Willner et al. (US 7,135,295,

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claims priority to PCT/IL00/00048, which was published in English) further in view of Carter et al. (US 2004/0006001).

Kameda et al. teach a nanoparticle comprising:

a self-assembling shell built up of several protein subunits of one type (apoferritin contains 24 protein subunits and is arranged as a spherical shell, which is a particle, col. 8, lines 65-67, although Kameda et al. do not specifically recite the particle being a nanoparticle, the particle is the same type, apoferritin, as that described in the instant specification and is therefore also a nanoparticle) assembled in an organized manner to form the shell having an inner surface facing the inside and an outer shell facing the outside of the particle (iron is removed from ferritin, which indicates that a portion of the apoferritin faces the inside of the shell and an outer portion faces the outside of the particle, col. 10, lines 38-48),

wherein one of the types of subunits have a first binding moiety facing the outside of the particle for binding of any specific ligand binding protein (linkers specific to ferritin conjugated to apoferritin, col. 10, lines 55-68); and

the particle contains attached to a type of subunit having a second binding moiety for binding a marker (Fab' fragments are labeled with fluorescein and attached to the particle, col. 11, lines 1-32); and

the marker enables detection of the particle (fluorescein is used for detection, col. 11, lines 49-52) wherein the shell of the nanoparticle is an apoferritin-like particle (col. 8, lines 65-67).

Kameda et al. do not specifically teach a genetically fused first binding moiety and a genetically fused second binding moiety, wherein each fusion protein comprising a given type of ferritin subunit and a first binding moiety has an identical fusion site located at the same position in the subunit's polypeptide chain.

Willner et al. teach a protein attached to a binding ligand, through either conjugation by chemical binding or forming a fusion protein by means of genetic engineering (col. 9, lines 41-48), in order to provide a synthetic macromolecule that has a binding domain with an affinity for the analyte.

Carter et al. teach fusion of a protein and a ferritin made from 24 subunits wherein each fusion protein comprising a given type of ferritin subunit and a first binding moiety has an identical fusion site located at the same position in the subunit's polypeptide chain (par. 14, 31, 32 and 35, protein is fused with a specific and targeted terminus of the ferritin that is identical for all subunits), in order to provide self assembled proteins.

It would have been obvious to one having ordinary skill in the art at the time the invention was made to attach the binding moieties to the subunits in the invention of Kameda et al., by genetic fusion as taught by Willner et al. One having ordinary skill in the art would have been motivated to make such a change as a mere alternative and functionally equivalent attachment technique and since the same expected attachment between a protein and a binding moiety would have been obtained. The use of alternative and functionally equivalent techniques would have been desirable to those of

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ordinary skill in the art based on the economics and the availability of equipment and components to form an attachment between a binding moiety and protein.

It would have further been obvious to one having ordinary skill in the art at the time the invention was made to recognize that for the fusion protein, for a given type of ferritin subunit and first binding moiety having an identical fusion site located at the same position in the subunit's polypeptide chain as taught by Carter et al., in order to easily incorporate proteins on a specific region of the ferritin to control whether the fused proteins is present on either the inner or outer region of the particle.

Regarding claim 27, Kameda et al. teach the first binding moiety fused to the N-terminus of the apoferritin protein (linkers are attached to the end of the apoferritin and therefore are fused to the N-terminus, col. 10, lines 55-68).

With respect to claim 29, Kameda et al. teach the marker being fluorescein (col. 11, lines 1-32).

Regarding claim 36, Kameda et al. teach an apoferritin that is produced from a human liver ferritin (col. 10, lines 38-48), but do not recite the size of the apoferritin. However, the instant specification teaches an apoferritin produced from a human liver ferritin molecule in the background of the invention as having the necessary dimensions. Therefore, the apoferritin molecule of Kameda et al. meets the recited size requirements as indicated by the instant specification.

With respect to claim 37, Kameda et al. teach the number of subunits being 24 (col. 8, lines 65-67), which encompasses the recited range of more than 8.

Regarding claim 38, Kameda et al. teach the nanoparticle of claim 1 and therefore teach a kit comprising the particle.

2. Claim 30 is rejected under 35 U.S.C. 103(a) as being unpatentable over Kameda et al. (US 4,959,306) in view of Willner et al. (US 7,135,295) further in view of Carter et al. (US 2004/0006001, as applied to claim 26, and Bertozzi et al. (US 6,713,274).

Kameda et al. in view of Willner et al. further in view of Carter et al. teach an apoferritin nanoparticle having a fluorescent marker that is fluorescein, but fail to specifically teach the marker being a lanthanide.

Bertozzi et al. teach that a detectable fluorescent marker may alternatively be fluorescein, luciferase or a lanthanide that is  $^{124}\text{Eu}$  (col. 10, lines 11-27), in order to provide a detectable label for detection of antibody binding.

Therefore it would have been obvious to one having ordinary skill in the art at the time the invention was made to substitute for the fluorescein marker taught by Kameda et al. in view of Willner et al. further in view of Carter et al., a luciferase or lanthanide marker as taught by Bertozzi et al. One having ordinary skill in the art would have been motivated to make such a change as a mere alternative and functionally equivalent labeling technique and since the same expected detection effect would have been obtained. The use of alternative and functionally equivalent techniques would have been desirable to those of ordinary skill in the art based on the economics and availability of components.

3. Claim 31 is rejected under 35 U.S.C. 103(a) as being unpatentable over Kameda et al. (US 4,959,306) in view of Willner et al. (US 7,135,295) further in view of Carter et

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al. (US 2004/0006001), as applied to claim 26, in view of Griffiths et al. (US 2003/0124586).

Kameda et al. in view of Willner et al. further in view of Carter et al. teach an apoferritin having two types of binding moieties, but fail to teach a third type of binding moiety facing the outside of the particle for binding to a solid support.

Griffiths et al. teach a binding moiety facing the outside of an apoferritin for binding to a solid support (par. 239), in order to provide linkage of a probe and target analyte to a substrate for detection.

Therefore it would have been obvious to one having ordinary skill in the art at the time the invention was made to add onto the apoferritin nanoparticle of Kameda et al. in view of Willner et al. further in view of Carter et al., a third binding moiety facing the outside of the particle for binding to a solid support as taught by Griffiths et al., in order to provide detection of binding that is localized to a specific area.

4. Claims 28 and 32 are rejected under 35 U.S.C. 103(a) as being unpatentable over Kameda et al. (US 4,959,306) in view of Willner et al. (US 7,135,295) further in view of Carter et al. (US 2004/0006001), as applied to claim 26, in view of Chandler et al. (US 6,599,331).

Kameda et al. in view of Willner et al. further in view of Carter et al. teach a first and second binding moiety, but fail to teach the first binding moiety being protein A, protein G, protein L CBP or BCCP.



Chandler et al. teach that protein A is conjugated to a particle for attachment of a fluorescent label (col. 7, lines 42-65), in order to provide labeling or specific binding for a bead.

Therefore it would have been obvious to one having ordinary skill in the art at the time the invention was made to include as the first binding moiety of Kameda et al. in view of Willner et al. further in view Carter et al., a protein A conjugated to the particle as taught by Chandler et al., in order to provide sufficient and easy attachment of labels to the particle.

5. Claims 33, 35 and 36 are rejected under 35 U.S.C. 103(a) as being unpatentable over Kameda et al. (US 4,959,306) in view of Willner et al. (US 7,135,295) further in view of Carter et al. (US 2004/0006001), as applied to claim 26, in view of Bergmann et al. (US 6,537,760).

Kameda et al. in view of Willner et al. further in view of Carter et al. teach the first and second binding moiety being an antibody against CRP, ABO blood group antigens and TSH.

Bergmann et al. teach an antibody against TSH as a first specific binding moiety or to bind a label (antibody to TSH is immobilized to a particle and binds to TSH to detect a labeled TSH, col. 9, lines 5-12), in order to provide accurate detection of TSH.

Therefore it would have been obvious to one having ordinary skill in the art at the time the invention was made to substitute the first or second moiety of Kameda et al. in view of Willner et al. further in view of Carter et al., an antibody to TSH as taught by

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Bergmann et al., in order to provide an accurate indicator with greater clinical value for TSH which is detected to diagnose Graves' disease.

With respect to claim 36, a nanoparticle having these binding moieties and an apoferritin shell is the same as that recited in the claims and would therefore have the same size and radius properties as those recited in claim 36. Therefore, according to the instant specification, the nanoparticle taught by Kameda et al. in view of Bergmann et al. has a radius that is between 10 and 40 nm.

6. Claim 34 is rejected under 35 U.S.C. 103(a) as being unpatentable over Kameda et al. (US 4,959,306) in view of Willner et al. (US 7,135,295) further in view of Carter et al. (US 2004/0006001), as applied to claim 26, in view of Oon et al. (US 2003/0077578).

Kameda et al. in view of Willner et al. further in view of Carter et al. teach a first and second binding moiety, but fail to teach the second binding moiety being protein A, protein G, protein L CBP or BCCP.

Oon et al. teach that protein A is conjugated to a support as a specific binding moiety (par. 96), in order to provide an antibody that binds immunoglobulins.

Therefore it would have been obvious to one having ordinary skill in the art at the time the invention was made to substitute as the first binding moiety of Kameda et al. in view of Willner et al. further in view of Carter et al., a protein A conjugated to the particle as taught by McCormick et al., in order to separate any tagged target protein complexes from a sample for accurate detection.

### ***Response to Arguments***

Applicant's arguments with respect to claims 26-38 have been considered but are moot in view of the new ground(s) of rejection. The previous rejections of the claims have been withdrawn. However, upon further consideration, a new ground(s) of rejection is made in view of applicant's amendment requiring each fusion protein comprising a given type of ferritin subunit and a first binding moiety that has an identical fusion site at the same position in the subunit's polypeptide chain.

### ***Conclusion***

No claims are allowed.

Any inquiry concerning this communication or earlier communications from the examiner should be directed to MELANIE J. YU whose telephone number is (571)272-2933. The examiner can normally be reached on Monday-Friday, 8:30 am- 5:00 pm,.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Mark Shibuya can be reached on (571)272-0806. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

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Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

/Melanie J. Yu/  
Primary Examiner, Art Unit 1641